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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Warsaw Orthopedic, Inc.Application No./Patent No.: 09/776,394 Filed/Issue Date: February 2, 2001Entitled: Human Spinal Disc ProsthesisWarsaw Orthopedic, Inc., a corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Vincent Bryan and Alex Kunzler To: Spinal Technologies Corporation  
The document was recorded in the United States Patent and Trademark Office at  
Reel 008591, Frame 0468, or for which a copy thereof is attached.
2. From: Spinal Technologies Corporation To: Spinal Dynamics Corporation  
The document was recorded in the United States Patent and Trademark Office at  
Reel 008513, Frame 0981, or for which a copy thereof is attached.
3. From: Spinal Dynamics Corporation To: Medtronic Sofamor Danek, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 013669, Frame 0543, or for which a copy thereof is attached.

☒ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Noreen C. Johnson  
Signature

Noreen C. Johnson

Printed or Typed Name

Vice President  
Title

6-28-07  
Date

(901) 399-2228 x6228

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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-SUPPLEMENTAL SHEET-

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Warsaw Orthopedic, Inc.

Application No./Patent No.: 09/776,394 Filed/Issue Date: February 2, 2001

Entitled: Human Spinal Disc Prosthesis

Warsaw Orthopedic, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

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in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Medtronic Sofamor Danek, Inc. To: SDGI Holdings, Inc.

The document was recorded in the United States Patent and Trademark Office at  
Reel 015635, Frame 0232, or for which a copy thereof is attached.

2. From: SDGI Holdings, Inc. To: Warsaw Orthopedic, Inc.

The document was recorded in the United States Patent and Trademark Office at  
Reel 019064, Frame 0771, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Noreen C. Johnson

Signature

Noreen C. Johnson

Printed or Typed Name

Vice President

Title

6-28-07

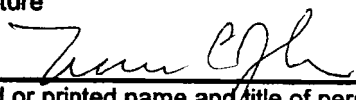
Date

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<b>REISSUE APPLICATION: CONSENT OF ASSIGNEE; STATEMENT OF NON-ASSIGNMENT</b>		Docket Number (Optional) 31132.59
This is part of the application for a reissue patent based on the original patent identified below.		
Name of Patentee(s) Vincent Bryan and Alex Kunzler		
Patent Number 5,865,846	Date Patent Issued February 2, 1999	
Title of Invention Human Spinal Disc Prosthesis		
<p>1. <input checked="" type="checkbox"/> Filed herein is a statement under 37 CFR 3.73(b). (Form PTO/SB/96)</p> <p>2. <input type="checkbox"/> Ownership of the patent is in the inventor(s), and no assignment of the patent is in effect.</p> <p>One of boxes 1 or 2 above must be checked. If multiple assignees, complete this form for each assignee. If box 2 is checked, skip the next entry and go directly to "Name of Assignee".</p> <p>The written consent of all assignees and inventors owning an undivided interest in the original patent is included in this application for reissue.</p>		
The assignee(s) owning an undivided interest in said original patent is/are <u>Warsaw Orthopedic, Inc.</u> , and the assignee(s) consents to the application for reissue No. 09/776,394.		
Name of assignee/inventor (if not assigned) Warsaw Orthopedic, Inc.		
Signature 	Date 6-28-07	
Typed or printed name and title of person signing for assignee (if assigned) Noreen C. Johnson, Vice President		

This collection of information is required by 37 CFR 1.172. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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